

LIST

of documents required to open correspondent accounts with the IBEC for RF non-resident banks

1. Letter/Application for opening an account specifying the purpose of opening an account and the account currency, signed by the authorized persons and bearing the Client's seal or forwarded via the SWIFT system (MT299).
2. Credit organization client questionnaire as per the IBEC's form or as per the bank's form provided that it records all necessary information.
3. Questionnaire for quality assessment of conducted measures for anti-money laundering and combating the financing of terrorism as per the IBEC's form or as per the bank's form provided that it records all necessary information.
4. Letter about beneficial owners.
5. Documents required to identify the beneficial owners in accordance with the IBEC's Identification Program and Internal Control Rules for purposes of anti-money laundering and combating the financing of terrorism
6. Specimen Signatures Book (Specimen Signature and Seal Imprint Card, executed as prescribed by applicable laws of the bank's country of residence, which shall be accompanied by the translation into Russian, notarized and legalized).
7. Copies of the documents either translated into Russian (translation shall be notarized), duly legalized¹ or documents in English, which are properly certified:
 - constituent documents of the bank;
 - documents evidencing the state registration of the bank;
 - banking licenses issued to the bank as prescribed by applicable laws of the country of residence;
 - statement of the tax authority of a foreign state on registration of the bank as a taxpayer in the country of its incorporation (registration) specifying the code of a taxpayer;
 - minutes of a general meeting, or other body according to the articles of association, evidencing the powers of the chief executive;
 - documents evidencing the powers of persons specified in the Specimen Signature and Seal Imprint Card (appointment orders, notices on approval of candidates by the national bank - if any, etc. in accordance with the laws of the bank's country of residence).
8. Copies of identification documents, including passports of the bank's chief executive and signatories (copies of passports certified by the bank's chief executive and the bank's seal are allowed), or Individual Questionnaire containing all necessary information.
9. Notarized copy of a power of attorney, if the Agreement is signed by the person vested with the relevant authorities.

¹ No legalization of documents is required, if the above documents (copies of documents) are issued in:

member countries of the Hague Convention 1961 abolishing the requirement of legalization for foreign public documents (if apostilled on the very document or on a separate sheet by a competent authority of a foreign country, as required by the Convention);

member countries of the Convention on Legal Aid and Legal Relations in Civil, Family and Criminal Cases 1993;

countries, with which the Russian Federation entered into treaties on legal aid and legal relations in civil, family and criminal cases.

10. List of correspondent banks.

11. Annual report and financial statements for the current year.

12. Information required under FATCA.

13. Other documents, confirming the financial and legal position of the Client, as and when necessary, in accordance with the IBEC Internal Control Rules for purposes of anti-money laundering and combating the financing of terrorism.